

Preparing & Addressing Federal Funding Reductions

Presented by:

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Discussion Agenda

1. Current Federal Funding Landscape
2. Information Ready
3. Evaluation of Funding
4. Organizational Adjustments
5. Communication
6. Overview and Questions



Current Federal Funding Landscape

Do we really know the funding levels for Federal programs?



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Current Federal Funding Landscape

- What do we know?
 - President submitted budget
 - Congress needs to pass a budget
- President's Proposed Budget
 - Identified program proposed funding
 - Most with funding cuts
 - Some programs eliminated or combined

Current Federal Funding Landscape

Funding eliminated for 19 independent agencies

African Development Foundation

- Provides grants to community groups and small businesses that help marginalized communities in Africa.

Appalachian Regional Commission

- Funds programs to develop economic opportunities, infrastructure and the workforce in 13 Appalachian states, with much of its work focused on coal-dependent communities.

Chemical Safety Board

- Investigates chemical accidents and makes recommendations to industry and labor groups, OSHA and the EPA, to prevent future incidents.

Corporation for National and Community Service

- Funds thousands of volunteer organizations across the country and runs AmeriCorps, Senior Corps and the Social Innovation Fund.

Corporation for Public Broadcasting

- Funds more than 1,500 public TV and radio stations, including PBS, NPR and affiliated local stations.

Delta Regional Authority

- Funds economic development projects in the seven states in the Mississippi Delta region.

Denali Commission

- Provides utilities, infrastructure and economic support in Alaska.

Institute of Museum and Library Services

- Supports libraries and museums through research, policy development and grant making.

Inter-American Foundation

- Provides grants to organizations working to improve economic conditions for poor communities in the Americas and the Caribbean.

U.S. Trade and Development Agency

- Funds projects that link American exporters with buyers in developing countries.

Legal Services Corporation

- Funds organizations that provide legal aid to low-income Americans.

National Endowment for the Arts

- Funds grants for nonprofit organizations and writing fellowships.

National Endowment for Humanities

- Supports research and initiatives to preserve cultural resources and promotes humanities education.

Neighborhood Reinvestment Corporation

- Assists organizations aimed at revitalizing rural, urban and suburban communities and securing access to affordable housing.

Northern Border Regional Commission

- Promotes economic development in distressed counties near the Canadian border in Maine, New Hampshire, Vermont and New York.

Overseas Private Investment Corporation

- Helps U.S. businesses invest in emerging markets by providing loans, political risk insurance and support for private equity funds.

United States Institute of Peace

- Works to address violent conflicts and encourage religious tolerance through research, training and partnerships with governmental agencies.

United States Interagency Council on Homelessness

- Coordinates with federal agencies to prevent and end homelessness.

Woodrow Wilson International Center for Scholars

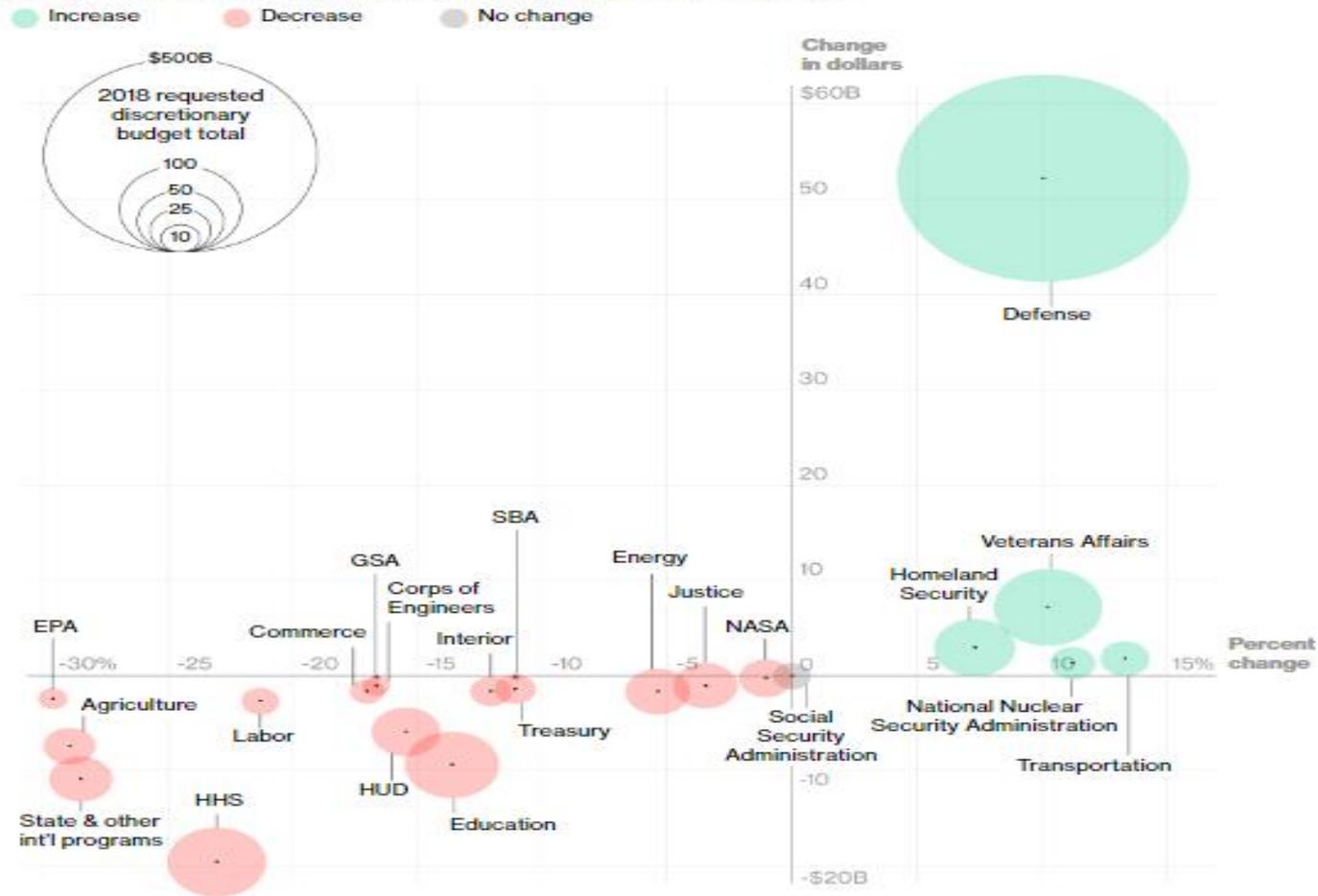
- A non-partisan think tank based in Washington, D.C. that conducts and promotes research on national and world affairs.

Ref. Bloomberg Politics March 2017

Current Federal Funding Landscape

80 Programs Lose Funding

Proposed changes to agency discretionary budgets in 2018*



Source: Office of Management and Budget

Ref. Bloomberg Politics March 2017

Current Federal Funding Landscape

Congressional Budget Plan Numbers

S-5	FY2018 House Budget Base Discretionary Budget Authority vs. Budget Control Act Caps (Nominal dollars in billions)										
	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2018-2027
Total in Budget Resolution	1,132	1,161	1,150	1,157	1,156	1,153	1,151	1,159	1,159	1,164	11,543
Defense	622	653	685	719	723	726	730	733	737	740	7,069
Non-Defense	511	509	465	438	433	427	421	426	422	424	4,474
Global War on Terrorism	87	60	43	26	12	12	12	0	0	0	252
Total in Budget Control Act Caps ¹	1,065	1,091	1,118	1,145	1,173	1,203	1,233	1,263	1,295	1,327	11,913
Defense	549	562	576	590	605	620	635	651	668	684	6,140
Non-Defense	516	529	542	555	568	583	597	612	627	643	5,773
Total Difference	+68	+70	+32	+13	-18	-49	-82	-104	-136	-163	-370
Defense	+72	+91	+109	+129	+118	+107	+95	+82	+69	+56	+929
Non-Defense	-5	-21	-77	-117	-136	-156	-176	-187	-205	-219	-1,299

¹Budget Control Act spending caps are valid for fiscal years 2018-2021. For fiscal years 2022-2027, the data reflects CBO's baseline estimate for base discretionary budget authority.



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Current Federal Funding Landscape

- Congressional Action
 - Pass Budget Resolution in September
 - Senate passage in question
 - Result in using budget reconciliation process
 - Pass Appropriation Bills
 - House Appropriation passed 12/20; 4 combined into “minibus;”
 - remaining 8 likely in Omnibus bill
 - Expect Continuing Resolution



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INFORMATION READY

Can you respond quickly?

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Information Ready

- Know current project/grant status
 - Financial status
 - Performance status
- Know impact on community/stakeholders
 - Current impact on services/support
 - Impact of deriving other opportunities
- Know impact on organization
 - Staff
 - Sustainability



Information Ready

- Know project/grant status
 - Financial status
 - Review most recent financial report submission; internal or external
 - Identify funding requests and expenditures since last financial report
 - Identify any upcoming funding requests or anticipated expenditures (30 day timeframe)

Know the past, current and future financial environment of the project/grant

Information Ready

- Performance Status

- What was last reported
- What is currently being worked on—progress to date
- What is expected to be accomplished within next 30 days or define next major accomplishment

Determine percent complete for current phase of grant; determine percent complete overall project



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Information Ready

- Impact on Community/Stakeholders
 - Identify if project is supporting community initiatives--collaboration
 - Identify if project has helped to initiate or generate other supportive opportunities within the community—new activities
 - Identify direct stakeholder support—impact or improvement

Be able to identify community collaboration & support

Information Ready

- Organizational Impact
 - Staffing—increase/decrease
 - Realignment of duties
 - Training
 - Sustainability
 - Required activities—for organizational mandate
 - Required activities under funded grant/project

Understand how any funding change could impact organization internally and externally.

Information Ready

- Next steps after assessment
 - Determine what data is needed
 - Determine who will provide data
 - Determine how to gather or access data
 - Determine when data is available
 - Determine timeframe for data submission/collection
 - Create an easy format to update data or information

Information Ready

- Collecting and Submitting Additional Information—Status Updates
 - Establish submission timeframe--internally
 - Identify what data to submit
 - Establish how it is to be submitted
 - Format
 - Narrative length
 - Create a standard template



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Information Ready

- Formatting & Maintaining Updates
 - Ease of responding requires
 - Formatting data and maintaining
 - Formatted for ease of understanding and transmission
 - Transmitting Grant Updates may only require
 - Award amount
 - Expenditures to date; match used to date
 - Issued contracts/subawards
 - Progress to date by percentage or milestones

EVALUATION OF FUNDING

If funding is cut, how can the project be adjusted?



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Evaluation of Funding

- Federal Funding Reductions—impact amount of funds available for grants
 - Determines level of new funding (new initiatives)
 - Determines level of continued work
 - Determines if to continue work

Requires recipients to know status of each grant and where they are in terms of completion or phase of a project.

Evaluation of Funding

- What to expect with reduced funding?
 - Provide status update—expenditures and progress
 - Provide an adjusted scope of work to continue grant until performance end date
 - Provide an adjusted budget
 - Limited or no funding for continued work
 - Limited number of grants for new initiatives

Evaluation of Funding

- Know grant project(s)/activities
 - What is the performance period?
 - What is the performance status—Yr. 2/3?
 - Are approvals required for phased work?
 - How much money is in budget for various activities?
 - Are there numerous subawards/contracts underway?
 - Can some activities be cut?

Evaluation of Funding

- Recipients--Evaluating Current Awards
 - Determine performance period of awards from all agencies
 - Determine what activities are critical to success
 - Determine if various activities/projects can be modified or not done--calculate
 - Determine impact of any changes on delivery of services or activities on stakeholders/community
 - Review all contracts/subawards

Evaluation of Funding

- Support funding or funding adjustments
 - Provide well-defined scope of work/project narrative; with succinct budget---tight focus
 - Well justified need to continue within a budget
 - Show ability to adjust work and gain additional support or funding when needed
 - Identify experience and innovation to meet objectives
 - Ability to provide sound management practices

ORGANIZATIONAL ADJUSTMENTS

With reduced funding will the organization change?



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Organizational Adjustments

- Providing additional updates can
 - Require additional staff work
 - Require additional gathering of external data
 - Require additional data for reporting
 - Require a new reporting format
 - Require an additional internal review channel

Organizations need to create an environment so grant status can be answered at any time.



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Organizational Adjustments

- Limited grant funding forces organizations to adjust and can
 - Reduce or eliminate project activities
 - Reduce effective performance of project/grant
 - Reduce number of subawards/contracts
 - Reduce staff
 - Realign staff duties
 - Reduce effectiveness of organization within community



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Organizational Adjustments

- Organizations need to:
 - Incorporate additional data/information reporting within internal processes—lessen burden
 - Design funding requests to scale up or down—critical eye
 - Maintain a well-trained staff
 - Maintain sound/flexible management practices
 - Establish a collaborative environment with external organizations & community for support

COMMUNICATION

Communication is a critical element to grant funding.



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Communication

- Grant Status Communication
 - Important to determine funding status
 - Important to provide consistent, reliable information
 - Important to provide accurate description of accomplishments
 - Important to respond quickly to inquiries or address potential issues

Communication

- Communicating Updated Information
 - A specified format
 - Requires specific data
 - Requires transmission protocol/timeframe
 - Non-prescribed status format
 - Standardize a format (NTE 2 pages)
 - Provide background information on grant(s)
 - Provide progress/funding to date
 - Provide organization information (basic)
 - Provide information on current funding levels

Communication

- Organizational Profile—Support Information
 - Create a brief profile describing
 - Organization/Mission/Management
 - Programs/funding
 - Staff/experience
 - Collaboration/public support
 - Grant accomplishments related to funding agency/program goals

Create organizational profile to easily update and routinely release.



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Communication

- Internal Communication
 - Establish an internal communication channel to management/finance/contracts or grants/program manager, etc.
 - Identify changes to grant funding
 - Identify changes period of performance
 - Identify changes to grant activities within performance period
 - Establish central area for documented award/changes --in official file

OVERVIEW & QUESTIONS

Potential Federal funding reductions requires up-to-date information on grant status.



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Overview and Questions

- Funding reductions require
 - Recipients to know current status of grants
 - Federal agencies most likely do not have current data at hand
 - Questions can arise at any time regarding federal funding within an agency
 - Being ready to answer the questions and support current funding and potential future funding
 - Organizations to be efficient and effective

Overview and Questions

- Agencies need to support program budgets
 - Agencies are reviewed quarterly
 - Need to know funding program status and how grants are performing
 - Reviews require accurate and up-to-date information
 - Funding environment is tight for most or all discretionary programs

Overview and Questions

- FY2018 and Beyond
 - Expect a bumpy funding year
 - Anticipate a couple of CRs
 - Agencies might ask for Letters of Intent or a pre-proposal review
 - Agencies priorities may be redirected or programs combined

Questions??



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